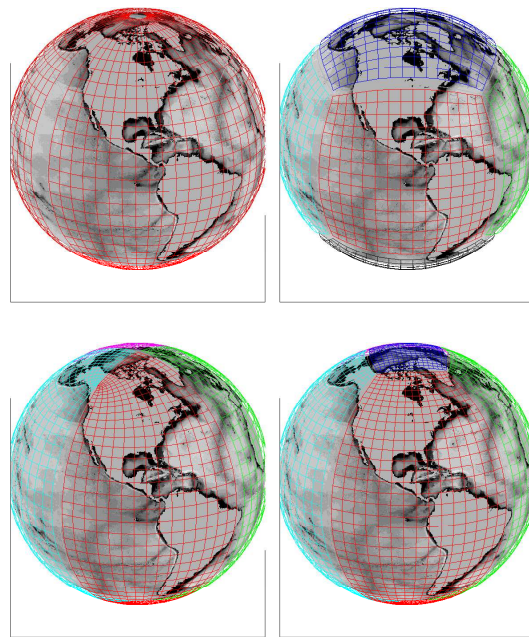


gcmfaces

a Matlab framework for the
analysis of gridded earth variables



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Summary

`gcmfaces` is a Matlab framework designed to handle gridded earth variables; results of `MITgcm` ocean simulations originally ([Forget et al., 2015](#)). It allows users to seamlessly deal with various gridding approaches (e.g. see [Fig.2](#)) using compact and generic codes. It includes many basic and more evolved functionalities such as plotting, or computing transports, gradients, and budgets. `MITprof` is a complementary toolbox to handle in-situ ocean observations ([Forget et al., 2015](#)). This document provides guidelines to download and update the software (section [1](#)) followed by the `gcmfaces` documentation. Its design and basic features are presented in sections [2](#) and [3](#). Higher level functions are illustrated in sections [4](#) and [5](#).

References

Forget, G., J.-M. Campin, P. Heimbach, C. N. Hill, R. M. Ponte, and C. Wunsch, 2015: ECCO version 4: an integrated framework for non-linear inverse modeling and global ocean state estimation. *Geoscientific Model Development*, **8** (10), 3071–3104, doi:10.5194/gmd-8-3071-2015, URL <http://www.geosci-model-dev.net/8/3071/2015/>.

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1 Download And Update

There are two ways to download and start using `gcmfaces` and `MITprof`:

1. download frozen copies: arguably the simplest method that will work in all computing environments (Linux, iOS, MS-windows).
2. use the `MITgcm` CVS server: this is the recommended method under Linux and iOS (assuming CVS was installed) since it has the major advantage that the codes can later easily be updated.

This section documents both methods and the setup of `gcmfaces`.

1.1 download frozen copies

The frozen copies of `gcmfaces` and `MITprof` are stored at ftp://mit.ecco-group.org/ecco_for_las/version_4/checkpoints/

Download the latest versions¹, uncompress and untar them, and rename the two directories as ‘`gcmfaces`’ and ‘`MITprof`’. When starting Matlab, one will add these two directories to the path as explained in section 1.3.

1.2 use the `MITgcm` CVS server

Login to the `MITgcm` CVS server as explained in [this page](#)² then download the up to date versions of `gcmfaces` and `MITprof` by typing

```
cvs co -P -d gcmfaces MITgcm_contrib/gael/matlab_class
cvs co -P -d MITprof MITgcm_contrib/gael/profilesMatlabProcessing
```

All past and future evolutions of the codes can be traced using the `cvcs` version control system. To update an existing copy of the codes and

¹`gcmfaces.20160125.tar.gz` and `c65r-MITprof.tar.gz` at the time of writing.

²http://mitgcm.org/public/using_cvs.html

22 take advantage of the latest developments one typically goes inside a di-
23 rectory and types 'cvs update -P -d' at the command line. If you are
24 new to `cvs` then you may want to read about the update command at
25 http://mitgcm.org/public/using_cvs.html.

26 **1.3 getting started with gcmfaces**

27 Download the LLC90 grid (Forget et al., 2015) directory at
28 ftp://mit.ecco-group.org/ecco_for_las/version_4/release1/nctiles_grid/
29 as shown in Fig. 1. Then start Matlab and load the grid by typing:

```
30 %add gcmfaces and MITprof directories to Matlab path:  
31 p = genpath('gcmfaces/'); addpath(p);  
32 p = genpath('MITprof/'); addpath(p);  
33  
34 %load nctiles_grid in memory:  
35 grid_load;  
36  
37 %displays list of grid variables:  
38 gcmfaces_global; disp(mygrid);
```

39 The applications in sections 4 and 5 further require downloading:
40 ftp://mit.ecco-group.org/ecco_for_las/version_4/release1/nctiles_climatology/
41 and adding the `m_map` plotting toolbox to the Matlab path:
42 <https://www.eoas.ubc.ca/rich/map.html>

Figure 1: Directory structure that is consistent with the Matlab commands in Sect. 1.3. The `nctiles_climatology/` directory (14G) contains the monthly mean climatology of the ECCO v4, release 1 state estimate (Forget et al., 2015). `m_map` and `nctiles_climatology/` are not necessary in section 1.3 but are used to demonstrate higher-level functions in sections 4 and 5.

```
./
├── gcmfaces/ (Matlab toolbox)
├── MITprof/ (Matlab toolbox)
├── m_map/ (Matlab toolbox)
├── nctiles_grid/ (netcdf files)
├── release1/
│   ├── nctiles_climatology/ (netcdf files)
│   ├── mat/ (see section 5)
│   └── tex/ (see section 5)
```

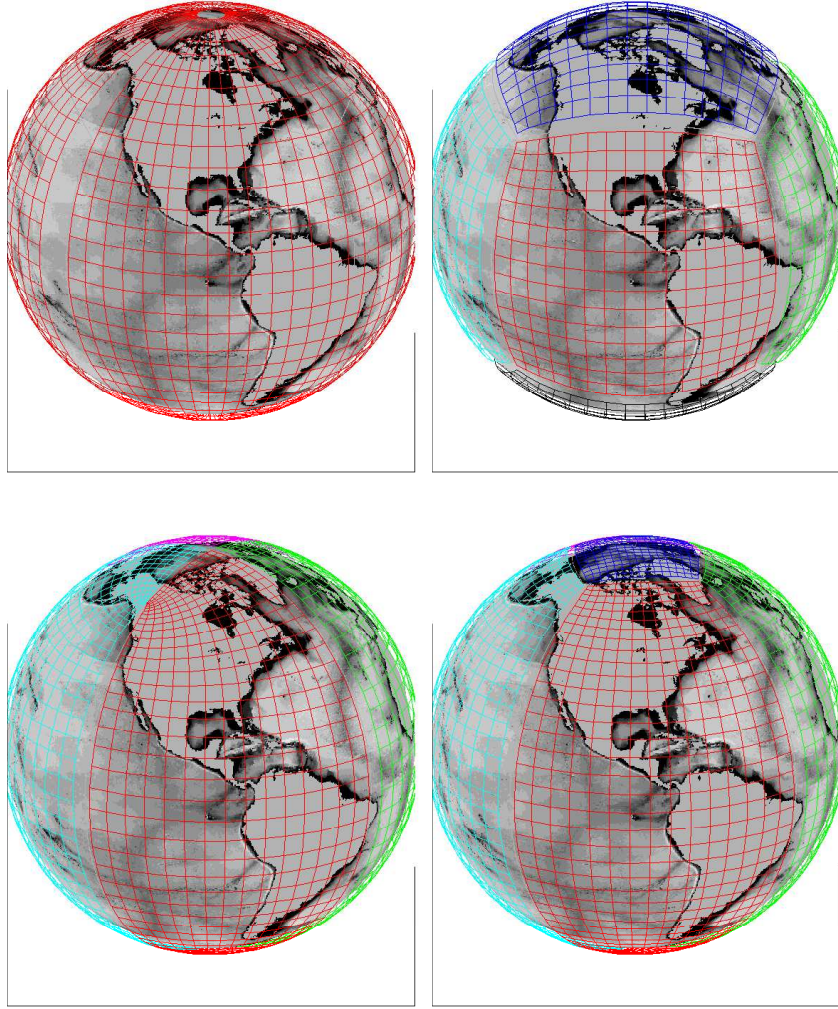


Figure 2: Four different ways of gridding the earth. Top left: lat-lon grid, mapping the earth to a single rectangular array ('face'). Top right: cube-sphere grid, mapping the earth to the six faces of a cube. Bottom right: lat-lon-cap 'LLC' grid (five faces). Bottom left: quadripolar grid (four faces). Faces are color-coded, and the ocean topography underlaid. Only a subset of the grid lines are shown in this depiction.

43 2 The gcmfaces class

44 The basic motivation for developing `gcmfaces` was to provide a unified frame-
45 work that allows for the analysis of earth variables on various grids. Fig. 2
46 shows four types of grids that are commonly used in ocean general circula-
47 tion models (GCMs). Despite evident differences in GCM grid designs, such
48 grids can all be represented as sets of connected arrays (or ‘faces’). This fact
49 is illustrated in Fig. 3 for the LLC90 grid (bottom right panel in Fig.2) that
50 is used in ECCO v4 (Forget et al., 2015).

51 The core of `gcmfaces` lies in its definition of a new Matlab data type
52 (or ‘class’) that represents gridded earth variables as sets of connected ar-
53 rays (the ‘@gcmfaces/’ subdirectory). An object of the `gcmfaces` class is
54 stored in memory as shown in Table 1. The `gcmfaces` class inherits many
55 of its basic operations (e.g., ‘+’) from the ‘double’ class as illustrated by
56 `@gcmfaces/plus.m` (see Table 2). Objects of the `gcmfaces` class can thus be
57 manipulated simply through compact and general expressions such as ‘a+b’
58 (see section 3.3) that are robust to changes in grid design.

Table 1: Gridded variable represented using the `gcmfaces` class. In this case the LLC90 grid (Fig.2, bottom right) is used that has five faces (f1 to f5).

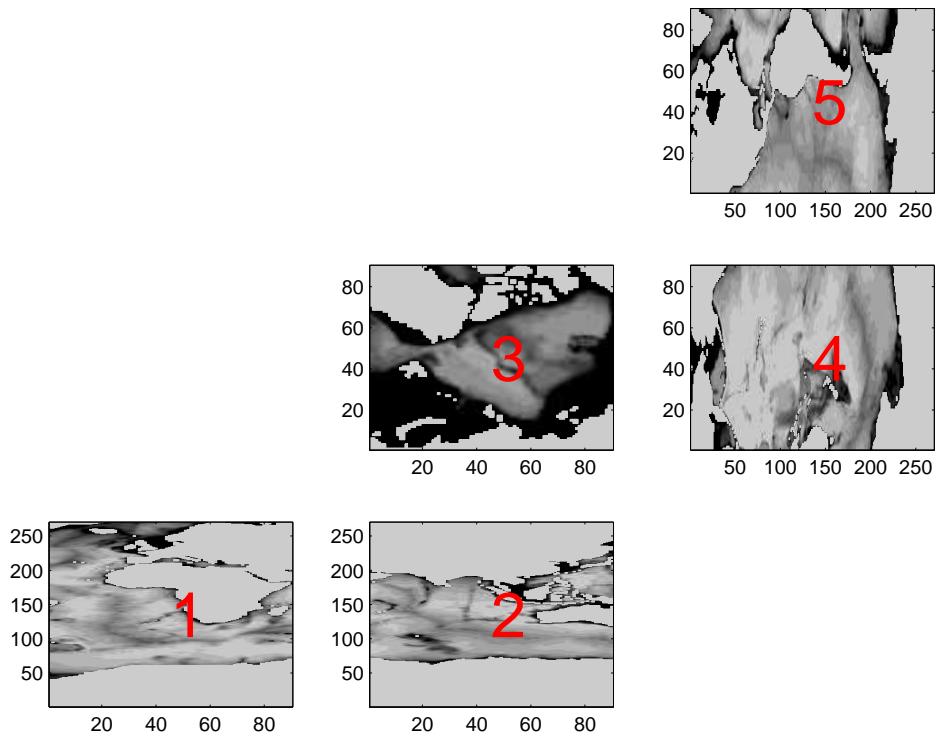
```
fld =  
    nFaces: 5  
         f1: [90x270 double]  
         f2: [90x270 double]  
         f3: [90x90 double]  
         f4: [270x90 double]  
         f5: [270x90 double]
```


Table 2: The '+' operation for gcmfaces objects (@gcmfaces/plus.m).

```
function r = plus(p,q)
%overloaded gcmfaces plus function :
% simply calls double plus function for each face data
% if any of the two arguments is a gcmfaces object

if isa(p,'gcmfaces'); r=p; else; r=q; end;
for iFace=1:r.nFaces;
    iF=num2str(iFace);
    if isa(p,'gcmfaces')&isa(q,'gcmfaces');
        eval(['r.f' iF '=p.f' iF '+q.f' iF ';'']);
    elseif isa(p,'gcmfaces')&isa(q,'double');
        eval(['r.f' iF '=p.f' iF '+q;']);
    elseif isa(p,'double')&isa(q,'gcmfaces');
        eval(['r.f' iF '=p+q.f' iF ';'']);
    else;
        error('gcmfaces plus: types are incompatible')
    end;
end;
```

Figure 3: Ocean topography displayed face by face for the LLC90 grid (Fig.2, bottom right). The face indices (from 1 to 5) are overlaid in red. Within each face, grid point indices increase from left to right and bottom to top in this view that reflects the data organization in memory (Tab. 1). This plot is generated by calling ‘example_display(1)’.



59 **3 Basic Features**

60 The representation of grid variables in memory is documented in section [3.1](#).
61 Other key features of `gcmfaces` are the ‘exchange’ functions that connect
62 faces (section [3.2](#)) and the ‘overloading’ of common operations (section [3.3](#)).
63 I/O functions are discussed in section [3.4](#).

64 **3.1 Grid Variables**

65 In practice the `gcmfaces` framework gets activated by loading a grid in mem-
66 ory using the `grid_load.m` function. The default grid (LLC90) can be
67 loaded in memory through a call to `grid_load.m` without any argument
68 (as done in Sect. [1.3](#)). For other grids, `grid_load.m` arguments need to be
69 specified as explained by ‘help grid_load.m’. `grid_load.m` stores all grid
70 variables in memory within a global structure named `mygrid` (Tab.[3](#)).

71 `mygrid` can be accessed in Matlab at any point by declaring it as ‘global
72 `mygrid`’ or using `gcmfaces_global.m`. The latter method additionally: (1)
73 issues a warning when ‘`mygrid` has not yet been loaded to memory’; provides
74 a few environment variables via `myenv`; adds `gcmfaces` directories to the path
75 if needed. It should be stressed that `gcmfaces` functions often rely on `mygrid`
76 and `myenv`. If they get deleted from memory (e.g., by a ‘clear all’) then a
77 call to `grid_load.m` will re-activate `gcmfaces` properly.

78 The C-grid variables listed in Tab.[3](#) follow the MITgcm naming conven-
79 tion (see sections 2.11 and 6.2.4 in [the MITgcm documentation](#)³). In brief,
80 XC, YC and RC denote longitude, latitude and vertical position of tracer
81 variables. DXC, DYC, DRC and RAC are the corresponding grid spacings
82 (in m) and grid cell areas (in m²). Another set of such fields (XG, YG, RF,
83 DXG, DYG, DRF, RAZ) is necessary to complete the C-grid specification
84 where velocity variables are shifted compared with tracer variables.

³http://mitgcm.org/public/r2_manual/latest/online_documents/manual.pdf

Table 3: List of grid variables contained in the mygrid global structure. The naming convention are directly inherited from the MITgcm. For details, see: http://mitgcm.org/public/r2_manual/latest/online_documents/manual.pdf

XC	: [1x1 gcmfaces]	longitude (tracer)
YC	: [1x1 gcmfaces]	latitude (tracer)
RC	: [50x1 double]	depth (tracer)
XG	: [1x1 gcmfaces]	longitude (vorticity)
YG	: [1x1 gcmfaces]	latitude (vorticity)
RF	: [51x1 double]	depth (velocity along 3rd dim)
DXC	: [1x1 gcmfaces]	grid spacing (tracer, 1st dim)
DYC	: [1x1 gcmfaces]	grid spacing (tracer, 2nd dim)
DRC	: [50x1 double]	grid spacing (tracer, 3nd dim)
RAC	: [1x1 gcmfaces]	grid cell area (tracer)
DXG	: [1x1 gcmfaces]	grid spacing (vorticity, 1st dim)
DYG	: [1x1 gcmfaces]	grid spacing (vorticity, 2nd dim)
DRF	: [50x1 double]	grid spacing (velocity, 3nd dim)
RAZ	: [1x1 gcmfaces]	grid cell area (vorticity)
AngleCS	: [1x1 gcmfaces]	grid orientation (tracer, cosine)
AngleSN	: [1x1 gcmfaces]	grid orientation (tracer, cosine)
Depth	: [1x1 gcmfaces]	ocean bottom depth (tracer)
hFacC	: [1x1 gcmfaces]	partial cell factor (tracer)
hFacS	: [1x1 gcmfaces]	partial cell factor (velocity, 2nd dim)
hFacW	: [1x1 gcmfaces]	partial cell factor (velocity, 1rst dim)

85 The indexing and vector conventions also derive from the `MITgcm`. The
86 indexing convention is illustrated for the LLC90 grid in Fig. 3. For a vector
87 field the first component (U) points straight to the right of the page in Fig. 3,
88 whereas the second component (V) points straight to the top of the page. The
89 location of U components are shifted by half a grid point towards the left of
90 the page, while the location of V components are shifted by half a grid point
91 towards the bottom of the page (reflecting the C-grid approach).

92 3.2 Exchange Functions

93 Many quantities of interests (e.g., budgets) involve values from neighboring
94 grid points that often need to be ‘exchanged’ between faces. This is achieved
95 in practice by appending rows and columns at the sides of each face that
96 are obtained from the neighboring faces – appending rows and columns from
97 faces #2, 3, and 5 at the sides of face #1 in the case of Fig. 3 for exam-
98 ple. These exchanges are operated by `exch_T_N.m` for tracer fields and
99 by `exch_UV_N.m` for velocity fields. These functions are needed for ex-
100 ample to compute temperature gradients (with `calc_T_grad.m`) and flow
101 convergences (with `calc_UV_conv.m`) as illustrated in section 4.

102 3.3 Overloaded Functions

103 Table 2 depicts the ‘overloading’ of the ‘+’ operation by `@gcmfaces/plus.m`.
104 In executing commands such as ‘`fld+1`’, Matlab will use `@gcmfaces/plus.m`
105 if one of the arguments of ‘+’ (i.e. `sum`) is of the `gcmfaces` class. Many com-
106 mon operations and functions are similarly overloaded in the ‘`@gcmfaces/`’
107 directory that defines the `gcmfaces` class and its operations:

- 108 1. Logical operators: `and`, `eq`, `ge`, `gt`, `isnan`, `le`, `lt`, `ne`, `not`, `or`
- 109 2. Numerical operators: `abs`, `angle`, `cat`, `cos`, `cumsum`, `diff`, `exp`, `imag`,

110 log2, max, mean, median, min, minus, mrdivide, mtimes, nanmax,
111 nanmean, nanmedian, nanmin, nanstd, nansum, plus, power, rdivide,
112 real, sin, sqrt, std, sum, tan, times, uminus, uplus.

113 3. Indexing operators: subsasgn, subsref, find, get, set, squeeze, repmat.

114 It is worth mentioning the case of `@gcmfaces/subsasgn.m` (subscripted
115 assignment) and `@gcmfaces/subsref.m` (subscripted reference) since they
116 are some of the most commonly used Matlab functions. For example, if
117 `fld` is of the ‘double’ class then `'tmp2=fld(1);'` and `'fld(1)=1;'` respectively
118 call `subsref.m` and `subsasgn.m`. If `fld` is of the `gcmfaces` class instead then
119 `@gcmfaces/subsref.m` behaves as follows:

120 `fld{n}` returns the n^{th} face data (i.e. an array).

121 `fld(:, :, n)` returns the n^{th} vertical level (i.e. a `gcmfaces`).

122 And `@gcmfaces/subsasgn.m` behaves similarly but for assignments. The
123 variables in Table 1 can also be accessed ‘manually’. For example:

124 `fld.nFaces` returns the `nFaces` attribute (double).

125 `fld.f1` returns the face #1 array (double).

126 3.4 I/O Functions

127 Objects of the `gcmfaces` class can simply be saved to or read from file in Mat-
128 lab’s own I/O format (.mat files). An alternative is to use `convert2array.m`
129 or `convert2gcmfaces.m` to re-organize the faces data into one array (or vice
130 versa) that can readily be written to or read from mat or binary files. The
131 other file formats that are currently supported in the `gcmfaces` framework
132 are: (1) the MITgcm ‘mds’ binary formats [documented here](#); (2) the nctiles
133 format used to distribute ECCO v4 fields (Forget et al., 2015). When reading
134 such files, the provided I/O functions (`rdmds2gcmfaces.m` and `read_nctiles.m`,
135 respectively) reformat the input into `gcmfaces` objects on the fly.

136 4 Tutorial

137 Here it is assumed that the user has completed the installation procedure in
138 section 1.3 (including the installation of ‘nctiles_climatology/’ and ‘m_map/’).
139 `gcmfaces_demo.m` can then be executed by starting Matlab and typing

```
140 addpath('gcmfaces/');%the directory where gcmfaces_demo.m is found  
141 gcmfaces_demo;
```

142 that illustrates a few of the `gcmfaces` capabilities. As prompted by `gcmfaces_demo.m`
143 the user specifies a desired amount of explanatory text output. `gcmfaces_demo.m`
144 then proceeds through the examples while displaying explanations in the
145 Matlab command window. Before each example the user is prompted to
146 type the return key to proceed. The Matlab GUI and debugger can also be
147 used to run the examples line by line.

148 The first section of `gcmfaces_demo.m` illustrates IO (`grid_load.m`
149) and plotting capabilities (`example_display.m`). `gcmfaces` relies on
150 `m_map` (<https://www.eoas.ubc.ca/rich/map.html>) for geographical projec-
151 tions through the `m_map_gcmfaces` front-end that typically produces Fig.4.
152 The `convert2pcol` function provides an alternative to display results di-
153 rectly via ‘pcolor’ (Fig. 5). The second section of `gcmfaces_demo.m` focuses
154 on data processing capabilities such as interpolation (`example_interp.m`)
155 and smoothing (`example_smooth.m`). `example_interp.m` illustrates the
156 interpolation of `gcmfaces` fields to a lat-lon grid, and vice versa. `example_smooth.m`
157 integrates a diffusion equation, which illustrates computations of tracer gra-
158 dients and flux convergences. The third section of `gcmfaces_demo.m` illus-
159 trates computations of oceanic transports and stream-functions
160 (`example_transports.m`) and budgets (`example_budgets.m`).

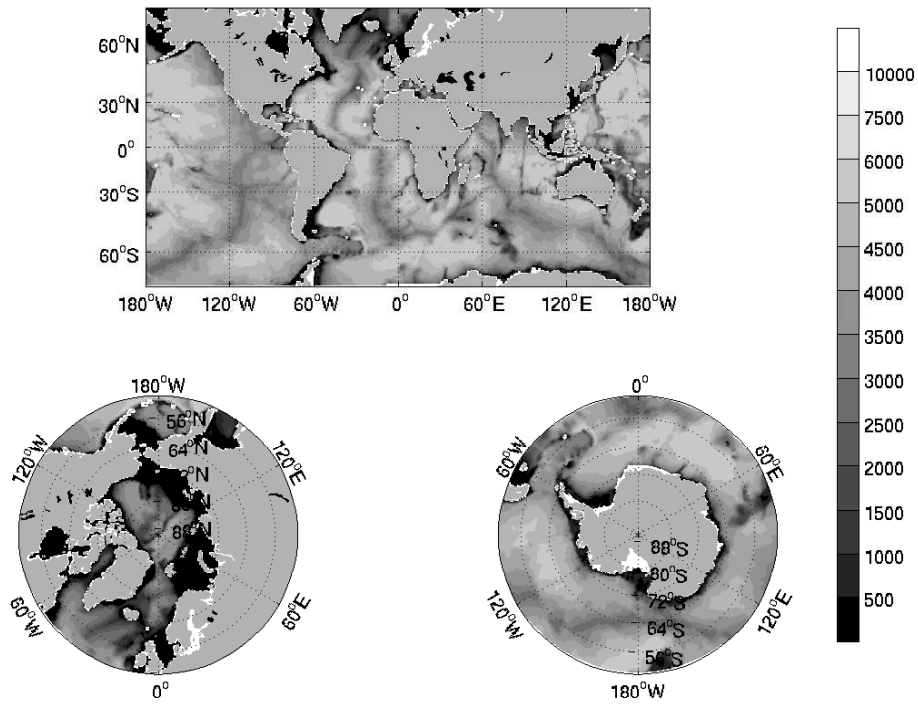


Figure 4: Same as Fig.3 but plotted in geographical coordinates using `m_map_gcmfaces.m`. This plot is generated by calling `'example_display(4)'`.

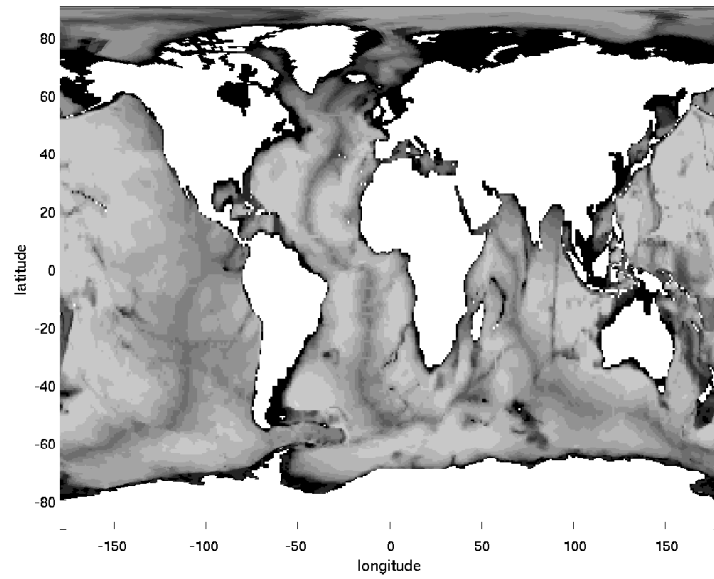


Figure 5: Same as Fig.3 but plotted in geographical coordinates using `convert2pcol.m`. This plot is generated by calling `'example_display(3)'`.

161 5 Standard Analysis

162 The gcmfaces standard analysis consists of an extensive set of physical di-
163 agnostics that are routinely monitored in MITgcm simulations and ECCO
164 v4 estimates (Forget et al., 2015). The computational loop is operated by
165 `diags_driver.m` that stores the results in a dedicated directory ('mat/' in
166 Fig.1). The display phase is done afterwards by calling `diags_display.m`
167 (simple display to screen) or `diags_driver_tex.m` (to generate a tex file).

168 Here it is assumed that the user has completed the installation proce-
169 dure in section 1.3 (including the installation of 'nctiles_climatology/' and
170 'm_map/'). The code below then generates mean and variance maps (set-
171 Diags='B' encoded in `diags_set_B.m`) from the ECCO v4 monthly mean
172 climatology (12 monthly fields), which should take about 5 minutes:

```
173 %add paths:
174 p = genpath('gcmfaces/'); addpath(p);
175 p = genpath('MITprof/'); addpath(p);
176 p = genpath('m_map/'); addpath(p);
177
178 %compute diagnostics:
179 help diags_driver;
180 dirModel='release1/';
181 dirMat=[dirModel 'mat/'];
182 setDiags='B';
183 diags_driver(dirModel,dirMat,'climatology',setDiags);
184
185 %display results:
186 diags_display(dirMat,setDiags);
```

187 Each set of diagnostics (computation and display) is encoded in one rou-
188 tine with a name such as 'diags_set_XX.m' (here 'XX' is just a placeholder).
189 These routines can be found in the 'gcmfaces_diags/' directory. Sets of di-
190 agnostics that can be generated using 'nctiles_climatology/' include oceanic
191 transports ('A'), mean and variance maps ('B'), sections and time series ('C'),
192 and mixed layer depths ('MLD').

193 If the 'setDiags' argument to `diags_driver.m` is omitted then the four
194 diagnostic sets will be generated at once, which should takes about 1/2 hour.
195 As this generates a large number of plots, one may prefer to generate a tex
196 file containing all of the plots, which should take another 10 minutes:

```
197 %compute more diagnostics:  
198 dirModel='release1/'; dirMat=[dirModel 'mat/'];  
199 diags_driver(dirModel,dirMat,'climatology');  
200  
201 %generate a tex file containing all of the plots:  
202 dirTex=[dirModel 'tex/']; nameTex='standardAnalysis';  
203 diags_driver_tex(dirMat,{},dirTex,nameTex);
```

204 These diagnostics can also be generated for the full ECCO v4 time series:
205 ftp://mit.ecco-group.org/ecco_for_las/version_4/release1/nctiles/
206 after downloading this directory (243G) and placing it next to 'nctiles_climatology/'
207 in Fig. 1. Since the 20 year time series consists of 240 monthly records, the
208 computation is usually distributed over multiple processors (e.g. each pro-
209 cessor processing one of the years) or done overnight with:

```
210 diags_driver(dirModel,dirMat,[1992:2011]);
```